



PATENT
Docket No.: 09163-20901

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Allen et al.

Serial No. : 09/929,886 Group Art Unit : 3692

Filed : August 15, 2001 Examiner : Chencinski

For : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A
SECURITIES TRANSACTION***

AMENDMENT AND REQUEST FOR RECONSIDERATION
(37 C.F.R. § 1.111)

COMMISSIONER FOR PATENTS
P.O. Box 1450
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Sir:

This Amendment and Request for Reconsideration is submitted in response to an outstanding Office Action dated February 16, 2007.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 29 of this paper.